



# PRIVACY POLICY

Ultima Markets Ltd (also referred to as "Ultima Markets")

Ultima Markets is a trading name of Ultima Markets Ltd



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# Privacy Policy

Ultima Markets is committed to protecting the privacy of all personal information which it obtains from you, including the information obtained during your visits to this website or the provision of other services to you.

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#### 1. ULTIMA MARKETS LTD PRIVACY AND SECURITY POLICY

Ultima Markets Ltd ("we", "us" or "Ultima Markets"), is authorized and regulated by the Financial Services Commission "FSC" of Mauritius as an Investment Dealer (Full-Service Dealer, excluding Underwriting) (license No. GB 23201593). We help traders and Introducing Brokers achieve their business goals and personal ambitions. Our business is built on integrity and trust, offering an industry leading combination of dynamic products, competitive trading parameters and premium, one-on-one service.

It is Ultima Markets' mission to enable the personal ambitions and business goals of FOREX traders and IBs, through underpinning dynamic products with competitive trading parameters and a premium customer experience.

#### 2. COMMITMENT

Ultima Markets is committed to protecting the privacy of all personal information which it obtains from you, including the information obtained during your visits to this website or the provision of other services to you. The collection, use, storage, disclosure, right of access and correction of personal information by the Ultima Markets is governed by the Mauritian Data Protection Act 2017 (DP Act). Mauritian Data Protection Act 2017 sets out the rules for information matching and provides on how the personal information is treated. These principles reflect internationally accepted standards for personal information handling.

#### 3. ULTIMA MARKETS COLLECT PERSONAL INFORMATION

Ultima Markets collects certain personal information in order that it may meet the needs of its customers. By collecting certain personal information, Ultima Markets can monitor and improve the services which it offers to its existing and potential customers. We will use this information for the following purposes: establishing and maintaining your account, providing our services to you, improving our services, regulatory notifications and marketing.

#### 4. WHAT INFORMATION DOES ULTIMA MARKETS OBTAIN?

Ultima Markets will collect and hold information about you when you complete an online application or other type of form or access and trade on your account through this website or another service, we provide to you. Ultima Markets may derive information from your use of this website or another service we provide to you and may store this information with your personal profile. This information may include site areas visited, pages viewed, frequency and duration of visits, types of transactions conducted, documents downloaded and other websites which may have referred you or to which you link.

#### 5. HOW WILL ULTIMA MARKETS USE THIS INFORMATION?

Ultima Markets may use such information for any one or more of the following purposes:

- to provide the services to you that you have requested including processing transactions.

- to confirm your identity.
- to maintain your personal profile; to manage your account.
- to contact you when necessary or appropriate in relation to the services being provided to you.
- to keep you updated whilst you are a customer in relation to matters such as contracts you have traded and activities of Ultima Markets.
- to manage and administer the products and services provided to you.
- to provide you with information regarding the products and services offered by Ultima Markets; to develop an understanding of the products and services that you may be interested in obtaining from Ultima Markets.
- to provide you with information or opportunities that we believe may be relevant to you.
- to tailor the website or other service we provide to you to your needs and interests; and
- to create anonymized statistical data.

You consent to Ultima Markets using your personal information for the above-stated purposes. You also consent to Ultima Markets transferring your personal information out of Mauritius where this is necessary for Ultima Markets to fulfill its contractual obligations to you. Please note that Ultima Markets only transfers personal information to organizations out of Mauritius if Ultima Markets has assessed the organization as having appropriate controls and safeguards in place to protect your personal data.

#### 6. WHO MAY ULTIMA MARKETS DISCLOSE THIS INFORMATION TO?

Ultima Markets may be required to provide your personal information to the regulator and government bodies from time to time. Ultima Markets may also disclose your personal information to:

- financial institutions and other similar organizations that deals within the course of its corporate activities, or those that are nominated by you.
- external service providers and professional advisers (which may be located overseas) that provide services to Ultima Markets.
- any organization at your request or any persons acting on your behalf, including your financial adviser, broker, solicitor or accountant.
- any third parties where this is necessary to process a transaction or provide services which you have requested; or
- any authority to whom Ultima Markets is required to disclose such information by law. Please note that Ultima Markets does not sell or provide your personal information to third parties for marketing purposes.

#### 7. UPDATING YOUR INFORMATION

You may inform Ultima Markets at any time that your personal details have changed or that you wish Ultima Markets to delete personal information we hold about you by emailing us at [info@ultimamarkets.com](mailto:info@ultimamarkets.com). Ultima Markets will change or delete your personal information in accordance with your instructions, except to the extent that we

are required to hold your personal information for regulatory or legal purposes, to provide you with the services you have requested or to maintain adequate business records.

#### 8. LINKS

When you use this website or other service we provide to you, you may be able to link to other websites. This Privacy Policy does not apply to those sites. Ultima Markets encourages you to read the privacy policies on such sites.

#### 9. COOKIES

Ultima Markets uses cookies to gather information about your access to this website and other services we provide to you. Cookies are small pieces of information which use a unique identification tag and are stored on your device as a result of you using this website or other service, we provide to you. When cookies are used by us, they collect statistical and information about how you use our services. Most internet browsers are set up to accept cookies. If you do not wish to receive cookies, you may be able to change the settings of your browser to refuse all cookies or to have your computer notify you each time a cookie is sent to it, and thereby give yourself the choice whether to accept it or not. However, this may impair the quality of the services that we provide to you in relation to your account.

#### 10. SECURITY

Ultima Markets takes security very seriously and adopts industry and information security best practices to protect your personal information and ensure it is not accessed by unauthorized persons. Measures include encryption of data during transmission, strong authentication mechanisms and secure access to machines and data.

#### 11. WHAT ARE YOUR RIGHTS?

You need not give us any of the personal information requested by Ultima Markets. However, without that information, Ultima Markets may be unable to open an account for you, where the information is requested in an application form, or to provide you with any other services, information or assistance you have sought. Should you have any questions or complaints about your privacy please contact us. If a complaint is not resolved by Ultima Markets to your satisfaction, you may complain to the Information Commissioner.

Under data protection legislation, you have (subject to certain exceptions) the right to obtain copies of any personal information which Ultima Markets holds about you and to inform Ultima Markets of any inaccuracy.

If you have a request, please contact us to obtain a subject access request form.

We may charge a nominal fee to cover the cost of verifying the application and locating, retrieving, reviewing and copying any material requested.

## 12. YOUR CONSENT

By accessing this website or other service we provide to you, you consent to Ultima Markets collecting, maintaining, using and disclosing personal information about you and provided by you or by another person as described above.

## 13. AMENDMENTS TO POLICY

Ultima Markets may make changes to this Privacy and Security Policy from time to time for any reason and will notify you of such changes by posting an updated version of this policy on this website. You are responsible for regularly reviewing this Privacy and Security Policy and if you use this website or other service, we provide to you after any changes are published, any such use shall constitute your agreement to those changes.